

IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Stephen R. Carter and Delos C. Jensen

Serial No.: 09/654,660

Examiner: Lezak, Arrienne M.

Filing Date: September 5, 2000

Group Art Unit: 2641

Title: POLICY ENFORCEMENT USING THE SEMANTIC CHARACTERIZATION OF
TRAFFIC

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Commissioner for Patents
P.O. Box 1450
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Technology Center 2600

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97 (b); or
(Within three months of filing national application; or date of entry of International application;
or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97 (c) together with either a:
☐ Statement under 37 CFR 1.97 (e), or
☐ a \$180 fee under 37 CFR 1.17 (p); or
(After mailing of first Office Action, but prior to Notice of Allowance or Final Office Action)
- ☐ under 37 CFR 1.97 (d) together with:
☐ Statement under 37 CFR 1.97 (e), and
☐ a \$180.00 fee set forth in 37 CFR 1.17 (p).
(Filed after final action or notice of allowance, whichever occurs first, but before payment of

the issue fee)

☒ Applicant(s) submit herewith Form PTO 1449- Information Disclosure Citation together with
copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s)
may be material to the examination of this application and for which there may be a duty to disclose in accordance
with 37 CFR 1.56.

It is requested that the information disclosed herein be made of record in this application.

Any deficiency or overpayment should be charged or credited to deposit account number 13-1703. A
duplicate copy of this sheet is enclosed.

Customer No. 20575

Respectfully submitted,

MARGER JOHNSON & McCOLLOM, P.C.

Ariel S. Rogson, Reg. No. 43,054MARGER JOHNSON & McCOLLOM, P.C.
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PATENT APPLICATION

Atty. Docket No.:
Applicant:
Filing Date:

6647-17
Stephen R. Carter and Delos C. Jensen
September 5, 2000

Serial No. 09/654,660
Group: 2641

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INFORMATION DISCLOSURE CITATION
FORM PTO-1449 (Modified)

U.S. PATENT DOCUMENTS

Exam <u>Init</u>	<u>Ref</u>	Document <u>Number</u>	Issue <u>Date</u>	<u>Name</u>	<u>Class</u>	Sub <u>Class</u>
		6,108,619	08-2000	Carter, et al.	704	9
		6,173,261	01-2001	Arai, et al.	704	257
		6,459,809	10-2002	Jensen, et al.	382	203
		6,289,353	09-2001	Hazlehurst, et al.	707	102
		6,009,418	12-1999	Cooper, David L.	706	15

FOREIGN PATENT DOCUMENTS

Exam <u>Init</u>	<u>Ref</u>	Document <u>Number</u>	Publication <u>Date</u>	<u>Country</u>	<u>Name</u>

OTHER DOCUMENTS

Exam <u>Init</u>	<u>Ref</u>	Author, Title, Date, Pertinent Pages, Etc.)
		Bartell et al., Latent Semantic Indexing is an Optimal Special Case of Multidimensional Scaling, Citeseer, pages 1-7.

Examiner: _____

Date Considered: _____

